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FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 127462

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) National Phase of PCT/JP2004/013661

	C	ONCERNING A FILING UN	DER 35 U.S.C. 371	10/5/349/
		IONAL APPLICATION NO. 104/013661	INTERNATIONAL FILING DATE September 17, 2004	PRIORITY DATE CLAIMED September 26, 2003
		NVENTION IG PAD, METHOD FOR PROCES	SING POLISHING PAD, AND M	ETHOD FOR PRODUCING SUBSTRATE USING
		T FOR DO/EO/US J SOETA		
Appli	cant h	nerewith submits to the United States	s Designated/Elected Office (DO/E	O/US) the following items and other information:
1.	\boxtimes	This is a FIRST submission of item	s concerning a filing under 35 U.S.	C. 371.
2.		This is a SECOND or SUBSEQUE	NT submission of items concerning	g a filing under 35 U.S.C. 371.
3.	\boxtimes	This is an express request to begin items (5), (6), (9) and (21) indicated	national examination procedures (below.	35 U.S.C. 371(f)). The submission must include
4.	\boxtimes	The US has been elected (Article 3	1).	
5.	\boxtimes	A copy of the International Application	ion as filed (35 U.S.C. 371(c)(2))	
		a. \square is attached hereto (required	only if not communicated by the In	ternational Bureau).
		b. 🛮 has been communicated by	the International Bureau.	
₹		c. \square is not required, as the applic	ation was filed in the United States	Receiving Office (RO/US).
6. '	\boxtimes	An English language translation of	the International Application as file	d (35 U.S.C. 371(c)(2))
•		a. 🛛 is attached hereto.		
		b. has been previously submitt	ed under 35 U.S.C. 154(d)(4).	
		c.	n was filed in English.	
7.		Amendments to the claims of the In	ternational Application under PCT	Article 19 (35 U.S.C. 371(c)(3))
		a. are attached hereto (require	d only if not communicated by the	International Bureau).
		b. have been communicated by	the International Bureau.	
		c. have not been made; however	er, the time limit for making such a	mendments has NOT expired.
		d.	I not be made.	,
8.		An English language translation of	the amendments to the claims und	er PCT Article 19 (35 U.S.C. 371(c)(3)).
9.	\boxtimes	An oath or declaration of the invent	or(s) (35 U.S.C. 371(c)(4)).	
10.		An English language translation of (35 U.S.C. 371(c)(5)).	the annexes of the International Pro	eliminary Examination Report under PCT Article 36
Items	s 11 to	o 20 below concern document(s) o	or information included:	
11.	\boxtimes	An Information Disclosure Stateme	nt under 37 CFR 1.97 and 1.98.	
12.	\boxtimes	An assignment document for record	ding. A separate cover sheet in co	mpliance with 37 CFR 3.28 and 3.31 is included.
13.	\boxtimes	A preliminary amendment.		
14.	\boxtimes	An Application Data Sheet under 37	7 CFR 1.76.	
15.		A substitute specification.		
16.	\boxtimes	A power of attorney and/or change	of address letter.	
17.		A computer-readable form of the se	equence listing in accordance with	PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18.		A second copy of the published inte	ernational application under 35 U.S	.C. 154(d)(4).
19.		A second copy of the English langu	age translation of the international	application under 35 U.S.C. 154(d)(4).
20.	\boxtimes	Other items or information: Internat	ional Search Report	

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National Phase of PCT/JP2004/013661 PCT/JP2004/013661 127462 CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492(a)): \$ 300.00 \$300.00 SEARCH FEE (37 CFR 1.492(b)(1)-(3)): \$ 400.00 International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage \$ 0.00 International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA. \$ 100.00 International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA. \$ 100.00 International search report provided to USPTO no later than the time at which the search fee is paid. \$ 400.00 EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)): \$ 500.00 EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)): \$ 500.00 EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)): \$ 500.00 All situations not provided for above. \$ 500.00 EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)): \$ 500.00 All situations not provided for above. \$ 500.00 All situations not provided for above. \$ 200.00 All situations not provided for above. \$ 200.00 Surcharge of \$130.00 for furnishing the search fee, the examination fee or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$ 700.00 TOTAL PAGES OF
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All situations not provided for above
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tround up to next integer CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ TOTAL CLAIMS 24 - 20 = 4 x 50.00 = \$200.00 INDEPENDENT CLAIMS 4 - 3 = 1 x 200.00 = \$200.00 MULTIPLE DEPENDENT CLAIM(S)(if applicable) + 360.00 = \$ TOTAL OF ABOVE CALCULATIONS = \$1300.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. SUBTOTAL = \$1300.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE \$1300.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +
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c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been/met, a/petition to revive (37 CFR 1.137(a) or (b))
must be filed and granted to restore the application to pending status.
SEND ALL CORRESPONDENCE TO:
OLIFF & BERRIDGE, PLC
Customer Number: 25944 NAME: William P. Bernidge
REGISTRATION NUMBER: 30,024
Date March 27, 2006 NAME: Daniel A. Tanner, III
REGISTRATION NUMBER: 54,734
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